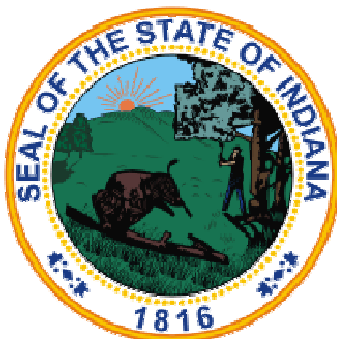


THE OFFICE OF THE INDIANA SECRETARY OF STATE TODD ROKITA



Formally Invites All Indiana Registered Investment Advisers and their Representatives to

THE INDIANA INVESTMENT ADVISER CONFERENCE 2010

Wednesday, October 20, 2010 1:00 PM to 4:00 PM
Indiana Government Center South Auditorium
402 West Washington
Indianapolis, Indiana 46041

Conference Topics and Agenda

Opening Remarks – Chris Naylor, Indiana Securities Commissioner

Books and Records

ADV I & II

Investment Advisory Contracts

Suitability

Common Violations & Discrepancies

Question and Answer Session

REGISTRATION: Please RSVP to iaexaminers@sos.IN.gov no later than October 18, 2010. Please include your firm name, CRD number and those representatives that wish to attend the conference.